From-Moser, Patterson & Sheridan L.L.P.

+713 623 4846

T-697 P.002/002 F-814

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

PADEMARY (703) 746-4000 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION PEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers, Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Logish) mark-up with any corrections at see Block 1) Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimite transmitted to the USPTO, on the date indicated below. Moser, Patterson & Sheridan, L.L.P. 3040 Post Oak Blvd., Suite 1500 Houston, TX 77056 (Depesitor's name) Walter C. Grollitsch (३१८०४४४४०) Talm C. . Clica (Dite) 27 MA 2007 CONFIRMATION NO. ATTORNEY DOCKET NO. FIRST NAMED INVENTOR FILING DATE APPLICATION NO. 2735 Marc G. Brum 08/31/2001 p9/945.313 AVAN 000730 TITLE OF INVENTION; MULTIPLE -PORT OPTICAL PACKAGE AND DWDM MODULE TOTAL FEE(S) DUE DATE DUB PUBLICATION FEE ISSUE FEE SMALL ENTITY APPLN. TYPE 06/03/2004 \$1630 \$300 \$1330 NO Intervisional CLASS-SLIBCLASS ARTUNIT EXAMINER 385-034000 2874 WOOD, KEVIN S Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the Moser, Patterson & Sheridan, L.L.P. names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent Q "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Roy 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent, Inclusion of assignce data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Fremont, CA Avanex Corporation Please check the appropriate assignee category or categories (will not be printed on the patent); 🔾 individual 4b. Payment of Fcc(s): 48. The following fee(s) are enclosed: ☐ A check in the amount of the fce(s) is enclosed. Payment by credit card. Form PTO-2038 is amended. AVAN 502730 WCG
The Director is hereby authorized by charge the required fee(s), or credit any everpayment, to Deposit Account Number 20-0782 (enclose an extra copy of this form). Issue Fee Publication Fee Cl Advance Order - # of Copies Director for Patents is requested to apply the Issue Fee and Publication Fee (if my) or to re-apply any previously paid issue fee to the application identified above. (Date) (Authorized Signature) May 2004 05/28/2004 AMONDAF2 00000015 200782 09945313 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from payone other than the applicant; a registered enomey or agont; or the assignce or other party in interest as shown by the records of the United States Patent and Tradernark Office. 1330.00 DA 01 FC:1501 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) as application. Cumfidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FPES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. 300.00 DA 02 FC:1504 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

3040 Post Oak Blvd, Suite 1500 Houston, TX 77056-6582 TEL 713.623.4844

> FAX 713.623.4846 WWW.MPSLLP.COM



FACSIMILE COVER SHEET

DATE:

5/27/04

FILE NO:

AVAN/000730

TO:

ISSUE FEE PAYMENT

FAX NO:

(703) 746-4000

COMPANY:

USPTO

FROM:

Walter C. Grollitsch

PAGE(\$) with cover:

2

ORIGINAL TO

FOLLOW?

☐ YES ⊠ NO

ISSUE FEE TRANSMITTAL

TITLE:

MULTIPLE-PORT OPTICAL PACKAGE AND DWDM MODULE

U.S. SERIAL NO .:

09/945,313

FILING DATE:

08/31/2001

INVENTOR:

BRUN

EXAMINER:

KEVIN S. WOOD

GROUP ART UNIT:

2874

CONFIRMATION NO.:

2735

CONFIDENTIALITY NOTE

The document accompanying this facsimile transmission contains information from the law firm of Moser, Patterson & Sheridan, L.L.P. which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

276569_1.DOC